| & him | | | Applic | ation Number | 10/028,471 |
|--|-----------------------------------|-------------|--|--|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Filing Date | | December 19, 2001 | |
| | | | lamed Inventor | Moore, Raymond | |
| | | Art Un | | 2171 | |
| | | | ner Name | Unassigned | |
| Total Number of Pages in This Submission | | | | ey Docket Number | 020375-004500US |
| | | ENCL O | · | (Check all that apply) | 020070 00400000 |
| 7 5 - 7 | | | | (Oncer an inat apply) | After Allowance Communication to |
| Fee Transmittal Fo | orm | Drawing | 1g(s) | | Group |
| Fee Attached | | Licensi | ng-relate | d Papers | Appeal Communication to Board of Appeals and Interferences |
| Amendment / Rep | ly | Petition | 1 | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| After Final | | _ | to Conv | | Proprietary Information |
| Affidavits/decla | aration(s) | | | ey, Revocation espondence Address | Status Letter |
| | | Termin | al Disclai | mer | Other Enclosure(s) (please identify below): |
| Express Abandonment Request | | _ | st for Ref | | Return Postcard |
| _ | | ∐ CD, Nu | mber of | CD(s) | |
| ☐ Information Disclosure Statement, including Int'l Search Report and three (3) cited references | | | | | DEOE" (E |
| Certified Copy of F | Priority | | The Commissioner is authorized to charge any additional fees | | |
| Document(s) | | Remai | rks | Deposit Account 20-1 | MAR 1 3 2003 |
| Response to Missing Parts/ Incomplete Application | | | | I | Technology Center 2 |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | |
| | SIGNA | TURE OF A | APPLIC | ANT, ATTORNEY, O | R AGENT |
| Firm Townsend and Townsend and C | | | | | |
| or Individual | Patrick M. Roucher Red No. 44 037 | | | | |
| Signature | Patet ne | | | | |
| Date March 4, 2003 | | | | | |
| | | | | RANSMISSION/MAI | |
| | | | | ne USPTO or deposited wit Patents, Washington, D.C. | h the United States Postal Service with sufficient 20231 on this date: March 4, 2003 |
| Typed or printed | Nina L. McNei | 11 | | | |
| Signature | | | | Mail | Date March 4, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 020375-004500US

Assistant Commissioner for Patents

Washington, D.C. 20231

on March 4, 2003

TOWNSEND and TOWNSEND and CREW LLP

By Hina of Mulfiell

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Raymond Moore

Application No.: 10/028,471

Filed: December 19, 2001

For: METHODS AND SYSTEMS FOR

DEVELOPING MARKET

INTELLIGENCE

Examiner: Unassigned

Art Unit: 2171

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

RECEIVED

MAR 1 3 2003

Technology Center 2100

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Raymond Moore

Application No.: 10/028,471

Page 2.

Ĺ

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

PMB:nlm DE 7097036 v1

PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/028,471

Complete if Known

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. RAD Bubstitute for form 1449A/PTO

Application Number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date December 19, 2001 **First Named Inventor** Moore, Raymond Art Unit 2171

(use as many sheets as necessary) **Examiner Name** Unassigned

Sheet 3 Attorney Docket Number 020375-004500US

| | | | U.S. PATENT D | OCUMENTS | |
|----------|--------------|---|--------------------------------|--|---|
| Examiner | Cite No.1 | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant |
| | AA | US-6,148,260 | 11/14/00 | Musk et al. | Figures Appear |
| | AB | US-6,189,003 | 2/13/01 | Leal | |
| | AC | US-6,208,998 | 3/27/01 | Marcus | |
| | AD | US- | | | |
| | AE | US- | | | |
| | AF | US- | | | |
| | AG | US- | | | 425 |
| | AH | US- | | | RECEIVED |
| | Al | US- | | | |
| · | AJ | US | | | MAR 1 3 2003 |
| | AK | US- | | | WAN I O ESSO |
| | AL | US- | | | Technology Center 2100 |
| | AM | US- | | | Technology denter 2100 |
| | AN | US- | | | |
| | AO | US- | | | |
| | AP | US- | | | |
| | AQ | US- | | | |
| | AR | US- | | | |
| | AS | US- | | | |
| | AT | US- | | | |

| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------|--------------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|---|--|----------------|
| Examiner Cite No.1 | C:1- | Foreign Patent Document | | | Name of Detector or | Pages, Columns, Lines, | | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | AU | | | | | | | |
| | AV | | | | | | | |
| | AW | | | | | | | |
| | AX | | | | | | | |
| | AY | | | | | | | |
| | AZ | | | | | | | |
| | BA | | | | | | | |
| | ВВ | | | | | | | |

| | | |
|-----------------------|--------------------|------|
| Examiner Signature | Date Considered | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

DE 7097036 v1

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

MAR 1 1 2003

Under the P

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ework Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/028,471

Filing Date December 19, 2001

First Named Inventor Moore, Raymond

Art Unit 2171

Examiner Name Unassigned

Attorney Docket Number 020375-004500US

(use as many sheets as necessary)

Sheet 4 of

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the T² Cite No.1 Examiner item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials * number(s), publisher, city and/or country where published. вС BD RECEIVED ВF MAR 1 3 2003 BG Technology Center 2100 ВН ВΙ ВJ BK BL вм

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DE 7097036 v1



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.